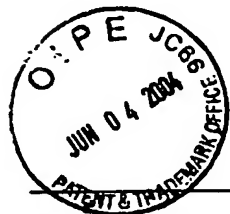


FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
10269-20CON
(HSX/001CON5)APPLN. NO.
10/655,409INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Timothy Maxwell Keiser,
et al.CONF. NO.
6575FILING DATE
September 4, 2003GROUP ART UNIT
3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CG	3,499,646	03/10/1970	Burgess, Jr. et al.	273	1	
CG	3,573,747	04/06/1971	Adams, C. et al.	340	172.5	
CG	3,581,072	05/25/1971	Nymeyer, F.	235	152	
CG	4,412,287	10/25/1983	Braddock, III, W.	364	408	
CG	4,597,046	06/24/1986	Musmanno et al.	364	408	
CG	4,674,044	06/16/1987	Kalmus et al.	364	408	
CG	4,823,265	04/18/1989	Nelson	364	408	
CG	4,903,201	02/20/1990	Wagner, S.	364	408	
CG	4,980,826	12/25/1990	Wagner, S.	364	408	
CG	5,077,665	12/31/1991	Silverman, D. et al.	364	408	
CG	5,101,353	03/31/1992	Lupien, W. et al.	364	408	
CG	5,136,501	08/04/1992	Silverman, D. et al.	364	408	
CG	5,237,500	08/17/1993	Perg, et al.	364	408	
CG	5,270,922	12/14/1993	Higgins	364	408	
CG	5,297,032	03/22/1994	Trojan et al.	364	408	
CG	5,375,055	12/20/1994	Togher et al.	364	408	
CG	5,497,317	03/05/1996	Hawkins, et al.	364	408	
CG	5,508,913	04/16/1996	Yamamoto, K. et al.	364	408	
CG	5,537,314	07/16/1996	Kanter	364	406	
CG	5,557,517	09/17/1996	Daughterty, III, V.	364	408	
CG	5,689,652	11/18/1997	Lupien, W. et al.	395	237	
CG	5,692,233	11/25/1997	Garman, M.	705	36	
CG	5,724,524	03/03/1998	Hunt et al.	395	237	
CG	5,727,165	03/10/1998	Ordish, C. et al.	395	237	
CG	5,819,238	10/06/1998	Fernholz	705	36	
CG	5,826,241	10/20/1998	Stein, et al.	705	26	
CG	5,905,974	05/18/1999	Fraser et al.	705	37	
CG	5,913,203	06/15/1999	Wong, et al.	705	39	
CG	5,845,266	12/01/1998	Lupien et al.	705	37	
CG	5,924,082	07/13/1999	Silverman et al.	705	37	
CG	5,950,176	09/07/1999	Keiser et al.	705	37	
CG	6,012,046	01/04/2000	Lupien, et al.	705	37	
CG	6,014,643	01/11/2000	Minton	705	37	
CG	6,016,483	01/18/2000	Rickard, et al.	705	37	

EXAMINER

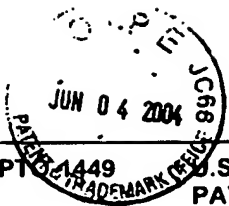
CG

DATE CONSIDERED

1/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Best Available Copy



FORM PT 31449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 10269-20CON (HSX/001CON5)	APPLN. NO. 10/655,409
	APPLICANTS Timothy Maxwell Keiser, et al.	CONF. NO. 6575
	FILING DATE September 4, 2003	GROUP ART UNIT 3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,029,146	02/22/2000	Hawkins, et al.	705	35	
	6,578,010	06/10/2003	Teacherson	705	14	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
CG	WO93/10503A1	5/27/1993	PCT				
CG	WO96/41315A1	12/19/1996	PCT				
CG	WO98/58333A1	12/23/1998	PCT				
CG	WO01/39056A1	05/31/2001	PCT				
CG	WO01/16825A1	03/08/2001	PCT				
CG	DE3539545A1	07/31/1986	Germany				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
CG	Amex.com, The Street.com, Across the Street: Buying Stock in Hollywood, Jeffrey L. Newman, November 17, 1997, 2 pgs.
CG	Bloomfield, Robert. "The interdependence of reporting discretion and informational efficiency in laboratory markets," The Accounting Review, v. 71, pgs. 493-511, 10/96.
CG	Chan, K.C. et al. "Market structure and the intraday pattern of bid-ask spreads for NASDAQ securities," The Journal of Business, v. 68, n. 1, pg. 35, 1/95.
CG	Cones; 43 Ways to Finance Your Feature Film. 1995. pages 47 and 45.
CG	Cones, John W. and Wilke, Pete, "Investor Financing of Independent Film", 1998.
CG	"Digital Commerce on Increase", Publishing Technology Review, v2, n2, 1/95.
CG	Engineers, Hollywood Stock Exchange, printed on 11/13/97, 2 pgs.
CG	Jack Feuer: Disc Driven. Findarticles.com; July 12, 1999.
CG	Freund, William C. "Trading stock around the clock: the future growth of global electronic markets," California Management Review, v. 34, n. 1, pg. 87, 1991.
CG	Hakansson, Nils H. et al. "On the feasibility of automated market making by a programmed specialist," Journal of Finance, vol. XL, no. 1, pgs. 1-20, 3/85.

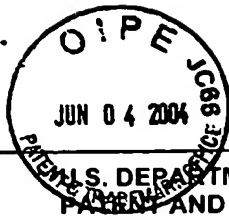
EXAMINER

CG

DATE CONSIDERED

1/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTATTY. DOCKET NO.
10269-20CON
(HSX/001CON5)APPLN. NO.
10/655,409APPLICANTS
Timothy Maxwell Keiser,
et al.CONF. NO.
6575FILING DATE
September 4, 2003GROUP ART UNIT
3623

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
CG	Saul Hansell, "Today, Shoppers on Internet Get Access to Electronic Cash", The New York Times, Section d, Page 4, Col. 5, 10/95.
CG	Howard, Barbara. "The trade: technology aims to take the final step," Institutional Investor, v. 24, n. 1, pg. S15, 1/91.
CG	Insider Trading - Hollywood Style, Entrepreneurial Edge Online, OnlinePress.com, Matthew Ragas, February 4, 1998, 3 pgs.
CG	The Internet Bull Market in Movies, Newsweek, Yahlin Chang, February 3, 1997, pgs. 3.
CG	Peter H. Lewis, "Attention Internet Shoppers: E-Cash Is Here", The New York Times, Section D, Page 4, Col. 4, 10/94.
CG	Lights, Camera, Capital On-line Investing, Debra Kaufman, WIRED Magazine, May 2001, 1 sheet.
CG	Lindsey, Richard R. and Ulrike Schaede. "Specialist vs. Saitori: market-making in New York and Tokyo," Financial Analysts Journal, v. 48, n. 4, pgs. 48-57, 7/92.
CG	MediaX and CMC International Records Team Up for Exclusive Download Campaigns with Major Artists. PR Newswire, Dec. 9, 1999.
CG	Nash, Kim S., "Cybercash at risk: Money laws lacking", Computerworld, 12/96.
CG	"A New Craze for March's Maddest: Online 'Trading' of NCAA Shares", Barbara Martinez, The New York Times Company: Abstracts, March 8, 1994.
CG	The New York Times, The Hollywood Stock Market: You Can't Lose, Laura Pedersen-Pietersen, January 11, 1998, 3 pgs.
CG	The Rogue Market Journal, The Power Play on Popular Culture, A Letter from the Editor, print on 12/31/96, 18 pgs.
CG	Michael Schrage, "Firms Quietly Forming Own Economies", San Jose Mercury News, 7/92.
CG	SunSpot Maryland's Online Community, Taking Stock at the Box Office, Alex Dubin, printed on 8/19/97, 5 pgs.
CG	VENTURA COUNTY REVIEW; The Los Angeles Times; Dec. 15, 1998.
CG	Ye, Jia, "An Investigation of Market Fragmentation and the Specialist's Quotation Strategy (Information Risk, Liquidity, Bid Ask Spread", 1995, Volume 57/03-A of Dissertation Abstracts International, p. 1260, 97 pgs.
CG	American Film Market; Hollywoodnet.com; 1997, http://www.hollywoodnet.com/conferences/afm97.htm
CG	Astral Media The Harold Greenberg Fund's Equity Investment Program; 1992, http://www.tmn.ca/hgfund/pdf/fundEquityJune2002.pdf

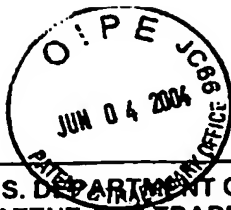
EXAMINER

CG

DATE CONSIDERED

1/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 10269-20CON (HSX/001CON5)	APPLN. NO. 10/655,409
	APPLICANTS Timothy Maxwell Keiser, et al.	CONF. NO. 6575
	FILING DATE September 4, 2003	GROUP ART UNIT 3623

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
CG	Avary's Domain - Interviews - Multimeter Magazine Interview, Mar. 3, 1994; pages 9-10, http://www.avary.com/killingzoe/details/interview.kz.millimetermag.html
CG	Canadians turn to co-finance, pre-sales for TV prod'n coin. Findarticles.com, Jan. 19, 1998, http://www.findarticles.com/cf_0/m1312/n10_v369/20371093/print.jhtml
CG	FILM FINANCES, INC. The World Leader in Completion Guarantees. October, 1999 Internet print-out
CG	FILM FINANCES, INC. The World Leader in Completion Guarantees. Sample Completion Guaranty; August, 2000 Internet print-out

EXAMINER

CG

DATE CONSIDERED

1/23/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
10269-20CON
(HSX/001CON5)APPLN. NO.
10/655,409SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Timothy Maxwell Keiser,
et al.CONF. NO.
6575FILING DATE
September 4, 2003GROUP ART UNIT
3623

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CG	6,263,321	07/17/2001	Daughtery, III, Vergil L.	705	36	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
CG	

EXAMINER

CG

DATE CONSIDERED

1/23/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.